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TECH CENTER 1600/2900

Applicant : Takashi Shigematsu et al.      Art Unit : Unknown  
Serial No. : 10/009,151      Examiner : Unknown  
Filed : November 30, 2001  
Title : STABILIZED DENATURED LIPOPROTEIN AND PROCESS FOR  
PRODUCING THE SAME

Commissioner for Patents  
Washington, D.C. 20231

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### INFORMATION DISCLOSURE STATEMENT

Applicants submit the documents listed on the attached form PTO-1449, a copy of which is enclosed.

Also enclosed is a copy of a search report issued in a counterpart PCT Application PCT/JP00/03413. The attached form PTO-1449 includes representative documents listed in this search report.

Documents AL, AM and AN are in foreign languages. Applicants have submitted a copy of English abstracts of documents AM and AN, but not document AL.

Note that to meet the requirement for providing "a concise explanation of the relevance" of all references not in English, one may provide an English-language version of a search report in which the relevance of the cited references is indicated. See Section 609 A(3) of the Manual of Patent Examining Procedure. An English translation of document AL is not provided, since the search report is in the English language and the relevance of that document is indicated therein.

This statement is being filed before the issuance by the U.S. Patent and Trademark Office of a first action on the merits.

#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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June 7, 2002

Diane M. Saturno

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Applicant : Takashi Shigematsu et al.  
Serial No. : 10/009,151  
Filed : November 30, 2001  
Page : 2

Attorney's Docket No.: 13723-002001 / F 2000-38-PCT/US

Please apply any charges to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

6-7-02

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13723-002001	Application No. 10/009,151
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Takashi Shigematsu et al.	
		Filing Date November 30, 2001	Group Art Unit JUN 24 2002 TECH CENTER 600/290

## U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,652,339	07/29/97	Lerch et al.	530	359	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	0 617 289	09/06/95	EP	G01N	33/96		X
	AM	09-297137	11/18/97	JP	G01N	33/53	X	
	AN	09-288106	04/11/97	JP	G01N	33/53	X	
	AO							

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AP	Murakami et al., "Distinction in the Mode of Receptor-Mediated Endocytosis Between High Density Lipoprotein and Acetylated High Density Lipoprotein: Evidence for High Density Lipoprotein Receptor-Mediated Cholesterol Transfer", Biochem 101:729-741, 1987. 4
	AQ	Schiele et al., "Feasibility of a Recombinant Human Apolipoprotein E Reference Material", Fresenius J. Anal. Chem. 360:501-504, 1998. 4
	AR	
	AS	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	